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<p><b>(54) Title:</b> PROPOFOL-BASED ANESTHETIC AND METHOD OF MAKING SAME</p> <p><b>(57) Abstract</b></p> <p>An anesthetic is provided that includes a mixture of propofol, a tonicity agent, a substantially phospholipid-free emulsifying agent, a preservative such as benzyl alcohol, and water. This anesthetic is made by combining these components and then filtering the mixture of these components through a sterilizing filter. This anesthetic may be parenterally administered to both induce and maintain anesthesia in animals.</p>			

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## PROPOFOL-BASED ANESTHETIC AND METHOD OF MAKING SAME

### BACKGROUND OF THE INVENTION

The present invention relates to an anesthetic and a method for making the anesthetic. More specifically, the present invention relates to an anesthetic containing 5 propofol as its active component for use in veterinary applications.

Anesthetics are useful in surgical procedures to artificially produce unconsciousness or to reduce sensitivity to pain. Anesthetics are typically viewed as primarily applicable to humans. However, anesthetics also may be administered to all types of animals to reduce pain when setting broken bones, performing internal surgery, 10 or otherwise handling the animal.

One common method of veterinary anesthetization is to premedicate the animal with an alpha-2 agonist, such as xylazine or detomidine, and then induce 15 anesthesia with ketamine. The ketamine anesthetic may be followed by the administration of a gas anesthetic to maintain anesthesia for the remainder of the procedure. Another common method of veterinary anesthetization is administering a thiobarbiturate mixed 20 with glycerol guaiacolate. An anesthesia gas may then be administered to maintain anesthesia for a prolonged surgical procedure.

The primary disadvantage associated with these two methods is that they require access to a gas anesthetic machine. Many surgical procedures take place in 25 remote areas where such a machine is not available. If the inducing agent used to anesthetize is administered throughout the procedure without supplemental gas, recovery is often difficult and could be violent.

Propofol has been used in anesthetic formulations administered to humans and dogs. These propofol formulations contain a phospholipid, such as egg lecithin, 25 which functions as an emulsifying agent. However, phospholipids are good substrates for bacterial growth. Phospholipids are also incompatible with numerous preservatives that are at least somewhat water soluble, such as benzyl alcohol. The addition of such a preservative to a formulation containing phospholipids could destroy the formulation. Without a preservative in the formulation, any excess formulation must be thrown away 30 within a few hours of its first use. Some formulations containing phospholipids also include a chelating or sequestering agent, such as ethylenediaminetetraacetic acid (EDTA). However, EDTA is not truly an antimicrobial substance and, thus, is not as effective as a preservative in preventing microbial growth. Another disadvantage with

propofol formulations currently available is that they typically contain relatively small amounts of propofol, generally less than five percent by weight/volume (w/v). Therefore, large quantities of the formulation must be administered to provide the desired anesthetic effect.

5 To overcome the deficiencies found with conventional anesthetics, an anesthetic formulation containing a preservative and a method for making this anesthetic formulation are needed in the art. In addition, a single anesthetic formulation that can be used to both initially anesthetize an animal and to maintain anesthetization is needed.

#### SUMMARY OF THE INVENTION

10 It is therefore an object of the present invention to provide an anesthetic formulation that overcomes these disadvantages.

It is another object of the present invention to provide an effective anesthetic formulation that is compatible with an at least somewhat water soluble preservative so that it may be used multiple times before being thrown away.

15 It is another object of the present invention to provide an anesthetic that is short acting and has a smooth induction to provide the anesthetized animals with an easy recovery.

It is a further object of the present invention to provide an anesthetic that can be used without supplemental gas so that it can be administered at any location.

20 Another object of the present invention is to provide an anesthetic that is effective in a short amount of time so that it can be used to induce anesthesia in an animal.

25 A further object of the present invention is to provide an anesthetic that is safely administered for long periods of time so that it can be administered to maintain anesthetization.

It is another object of the present invention to provide a method for making an anesthetic to achieve the foregoing objects.

According to the present invention, the foregoing and other objects are achieved by an anesthetic that includes of a mixture of propofol, a tonicity agent, a 30 substantially phospholipid-free emulsifying agent, a preservative such as benzyl alcohol, and water. The anesthetic is made by combining these components and then filtering the

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mixture through a sterilizing filter. The anesthetic may be administered parenterally. The anesthetic may be administered to initially anesthetize and/or to maintain anesthetization.

Additional objects, advantages and novel features of the invention will be 5 set forth in part in the description which follows, and in part will become apparent to those skilled in the art upon examination of the following, or may be learned from the practice of the invention. The objects and advantages of the invention may be realized and attained by means of the instrumentalities and combinations particularly pointed out in the appended claims.

10 **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT**

The anesthetic of the present invention includes a mixture of propofol, a tonicity agent, an emulsifying agent, a preservative, and water. Propofol (2,6-diisopropylphenol) is the active ingredient for this formulation and functions as the anesthetic. It is a sedative hypnotic agent, which can be used for both the induction and 15 the maintenance of anesthesia. The anesthetic may be parenterally administered to any animal, including humans. It is especially useful for horses, cats and dogs. Most preferably, it is administered to horses.

The emulsifying agent in this mixture acts as a bridge between the oily propofol and the water so as to emulsify the mixture. The emulsifying agent has 20 properties of a surfactant and a solvent. The emulsifying agent allows the present formulation to be injected into animals. The agent is substantially devoid of phospholipids and is nonionic. Preferably, the emulsifying agent used in the formulation of the present invention does not contain phospholipids. Preferably, the emulsifying agent is polyethoxylated castor oil. Polyethoxylated castor oil is a good emulsifier 25 because it is well-tolerated in animals and because it is able to be administered parenterally. Furthermore, it is a chemically stable substance and, unlike phospholipids, it resists oxidation and microbial degradation. One brand of polyethoxylated castor oil that may be used is T-DET C-40, which may be purchased from Harcros Organics, 5200 Speaker Rd., P.O. Box 2930, Kansas City, Kansas 66106-1095.

30 The tonicity agent of the present invention maintains a substantially isotonic formulation. It functions to make the formulation compatible with animal tissue.

It also helps prevent the hemolysis of red blood cells in the animal. The tonicity agent may include, but is not limited to, sodium chloride, potassium chloride, mannitol, glycerin, dextrose, or dextrose anhydrous. Preferably, the tonicity agent is dextrose anhydrous.

5                 The preservative of the present invention functions as an antibacterial or antimicrobial agent. It is at least somewhat soluble in water. Parabens, phenols, and benzyl alcohol are among the preservatives that can be used in the formulation. More specifically, the preservative used in this formulation may include, but is not limited to, benzalkonium chloride, benzethonium chloride, benzoic acid, benzyl alcohol, butyl  
10 paraben, cetylpyridinium chloride, chlorobutanol, chlorocresol, cresol, dehydroacetic acid, ethylparaben, methylparaben, methylparaben sodium, phenol, phenylethyl alcohol, potassium benzoate, potassium sorbate, propylparaben, propylparaben sodium, sodium benzoate, sodium dehydroacetate, sodium propionate, sorbic acid, or thymol. Preferably, the preservative is benzyl alcohol. The preservative should meet the Antimicrobial  
15 Preservative Effectiveness (APE) test. This test requires cultures of each of the microorganisms, *Aspergillus niger*, *Staphylococcus aureus*, *Escherichia coli*, *Pseudomonas aeruginosa*, and *Candida albicans* to be tested in the formulation. The concentration of viable bacteria in the formulation must be reduced to not more than 0.1% of the initial concentrations by the fourteenth day. The concentrations of viable yeasts and molds must  
20 remain at or below the initial concentrations during the first 14 days. The concentration of each test microorganism must remain at or below these designated levels during the remainder of the 28-day test period. The preservative used in this formulation is compatible with the emulsifying agent because a substantially phospholipid-free emulsifying agent is used.

25                 Water functions as a solvent in this formulation. The water used in this anesthetic formulation should be suitable for injection under United States Pharmacopeia (USP) standards. These standards provide that water complying with the U.S. Environmental Protection Agency National Primary Drinking Water Regulations or the comparable regulations of the European Union or Japan be purified by distillation or  
30 reverse osmosis. Water, which is suitable for injection according to USP standards, contains no added substances.

The anesthetic of the present invention preferably includes the mixture of about 1-30% w/v propofol, about 1-19.8% w/v tonicity agent, about 5-40% w/v emulsifying agent, and about 0.5-2.5% w/v preservative, if benzoic acid, benzyl alcohol, chlorobutanol, chlorocresol, cresol, dehydroacetic acid, phenol, phenylethyl alcohol, 5 potassium benzoate, potassium sorbate, sodium benzoate, sodium dehydroacetate, sodium propionate, sorbic acid, or thymol is used as the preservative, or about 0.01-0.5% w/v preservative, if benzalkonium chloride, benzethonium chloride, butyl paraben, cetylpyridinium chloride, ethylparaben, methylparaben, methylparaben sodium, propylparaben, or propylparaben sodium is used as the preservative. All percentages 10 are by weight/volume (w/v) unless otherwise noted. The emulsifying agent content varies with the propofol content in a proportional relationship. More preferably, the anesthetic of the present invention includes the mixture of about 9-11% propofol, about 4-8% tonicity agent, about 15-25% emulsifying agent and about 1-2% benzyl alcohol. Most preferably, the anesthetic of the present invention includes the mixture of about 10% of 15 propofol, about 5.4% of dextrose anhydrous, about 20% of polyethoxylated castor oil, and about 1.5% of benzyl alcohol per 100 milliliters of solution wherein a sufficient quantity of water for injection is used to make the balance of the solution.

The anesthetic of the present invention is a substantially isotonic solution, having an osmolarity less than 1. It has a pH between about 5.5 and 9.5.

20 The anesthetic of the present invention is made by combining propofol, a tonicity agent, an emulsifying agent, a preservative, and water to form a mixture. These components are then mixed for an effective period of time. After these components are thoroughly mixed, the mixture is filtered through a sterilizing filter. The order in which components are added is not critical. Preferably, the remaining water is added last so that 25 a specific quantity of anesthetic may be obtained. This process can be scaled to make any desired quantity of the formulation.

One preferred method of making the anesthetic of the present invention includes placing 30-40% of the water in a vessel, agitating the water, and mixing the tonicity agent with the water until a clear solution forms. Next, an emulsifying agent is 30 mixed with the clear solution until a milky solution forms. Propofol is then mixed with the milky solution for an effective period of time. Typically, it is mixed for at least about 10 minutes. Following this, a preservative is mixed into the solution for an effective

period of time. Typically, it is mixed for at least about 10 minutes. Agitation is discontinued, and the balance of the water is added to the solution. The anesthetic formulation is then mixed for an effective period of time. Generally, it is mixed for at least about 10 minutes. Next, it is filtered through a sterilizing filter. Preferably, the 5 anesthetic is filtered through a 0.22 micron or smaller absolute sterilizing filter, wherein the filter contributes to the emulsification process. Most preferably, this filter is made of polytetrafluoroethylene. A desirable polytetrafluoroethylene 0.22 micron absolute sterilizing filter may be purchased from Millipore Corporation, 80 Ashby Road, Bedford, MA 01730.

10 The following are examples of methods for making the propofol-based anesthetics of this invention. These examples do not limit the scope of this invention.

Example 1:

40 milliliters of water for injection, USP, were added to a glass vessel. Agitation began. With continued agitation, 5.4 grams of dextrose anhydrous, USP, were 15 added to the water and mixed with the water until the dextrose anhydrous dissolved and a clear solution formed. With continued agitation, 20 grams of T-DET C-40 polyethoxylated castor oil were added to the mixture and mixed until a milky solution formed. With continued agitation, 10 grams of propofol were added to the solution and mixed with it for over 10 minutes. With continued agitation, 1.5 grams of benzyl alcohol 20 were added to the mixture and mixed for over 10 minutes. Agitation was discontinued and the solution was diluted to a volume of 100 milliliters with water for injection, USP. Agitation was restarted, and the solution was mixed for over 10 minutes. With continued 25 agitation, the solution was filtered through a 0.22 micron absolute sterilizing filter into sterile containers, and it was sealed with appropriate sterile closures. This formulation had an osmolarity of 0.273 and a pH of 7.55.

Example 2:

35 milliliters of water for injection, USP, are added to a suitable stainless steel vessel. Agitation begins. With continued agitation, 5 grams of potassium chloride are added to the water and mixed with the water until the potassium chloride dissolves 30 and a clear solution forms. With continued agitation, 32 grams of polyethoxylated castor oil are added to the mixture and mixed until a milky solution forms. With continued agitation, 25 grams of propofol are added to the solution and mixed with it for 10

minutes. With continued agitation, 90 milligrams of methylparaben and 10 milligrams of propylparaben are added to the mixture and mixed for 10 minutes (or until dissolved). Agitation is discontinued, and the solution is diluted to a volume of 100 milliliters with water for injection, USP. Agitation is restarted, and the solution is mixed for 10 minutes.

- 5 With continued agitation, the solution is filtered through a suitable sterilizing filter into sterile containers and sealed with appropriate sterile closures.

Example 3:

30 milliliters of water for injection, USP, are added to a glass vessel. Agitation begins. With continued agitation, 15 grams of mannitol are added to the water

10 and mixed with the water until the mannitol dissolves and a clear solution forms. With continued agitation, 40 grams of polyethoxylated castor oil are added to the mixture and mixed until a milky solution forms. With continued agitation, 30 grams of propofol are added to the solution and mixed with it for 15 minutes. With continued agitation, 1.25 grams of chlorobutanol are added to the mixture and mixed for 20 minutes. Agitation is

15 discontinued, and the solution is diluted to a volume of 100 milliliters with water for injection, USP. Agitation is restarted, and the solution is mixed for 10 minutes. With continued agitation, the solution is filtered through a suitable sterilizing filter into sterile containers and sealed with appropriate sterile closures.

Example 4:

20 40 milliliters of water for injection, USP, are added to a suitable stainless steel vessel. Agitation begins. With continued agitation, 19 grams of glycerin are added to the water and mixed with the water until the glycerin dissolves and a clear solution forms. With continued agitation, 5 grams of polyethoxylated castor oil are added to the mixture and mixed until a milky solution forms. With continued agitation, 2 grams of

25 propofol are added to the solution and mixed with it for 10 minutes. With continued agitation, 1.5 grams of potassium sorbate are added to the mixture and mixed for 10 minutes. Agitation is discontinued, and the solution is diluted to a volume of 100 milliliters with water for injection, USP. Agitation is restarted, and the solution is mixed for 10 minutes. With continued agitation, the solution is filtered through a suitable

30 sterilizing filter into sterile containers and sealed with appropriate sterile closures.

Example 5:

32 milliliters of water for injection, USP, are added to a suitable stainless steel vessel. Agitation begins. With continued agitation, 2 grams of dextrose anhydrous, USP, is added to the water and mixed with the water until the dextrose anhydrous 5 dissolves and a clear solution forms. With continued agitation, 20 grams of polyethoxylated castor oil are added to the mixture and mixed until a milky solution forms. With continued agitation, 25 grams of propofol are added to the solution and mixed with it for 25 minutes. With continued agitation, 0.5 grams of phenol are added to the mixture and mixed for 30 minutes. Agitation is discontinued, and the solution is 10 diluted to a volume of 100 milliliters with water for injection, USP. Agitation is restarted, and the solution is mixed for 30 minutes. With continued agitation, the solution is filtered through a suitable sterilizing filter into sterile containers and sealed with appropriate sterile closures.

Example 6:

15 37 milliliters of water for injection, USP, are added to a glass vessel. Agitation begins. With continued agitation, 10 grams of sodium chloride are added to the water and mixed with the water until the sodium chloride dissolves and a clear solution forms. With continued agitation, 25 grams of polyethoxylated castor oil are added to the mixture and mixed until a milky solution forms. With continued agitation, 20 20 grams of propofol are added to the solution and mixed with it for 11 minutes. With continued agitation, 1 gram of benzyl alcohol is added to the mixture and mixed for 12 minutes. Agitation is discontinued, and the solution is diluted to a volume of 100 milliliters with water for injection, USP. Agitation is restarted, and the solution is mixed for 10 minutes. With continued agitation, the solution is filtered through a suitable 25 sterilizing filter into sterile containers and sealed with appropriate sterile closures.

The anesthetic of the present invention is effective in a short amount of time, thus making it an effective anesthetic to be used for induction of anesthesia. In addition, the anesthetic of the present invention can be safely administered for long periods of time, thus making it an effective anesthetic for maintaining anesthesia. In one 30 embodiment of the invention, the anesthetic is parenterally administered not only to induce anesthesia but to maintain anesthesia as well. Preferably, the anesthetic is initially administered in a quantity of approximately of 2.0 milligrams per kilogram body weight

of the animal (mg/kg). Then, if anesthetization is continued using this anesthetic for a long period of time, the amount of anesthetic can then be decreased to approximately 0.2 milligrams per kilogram body weight of animal per minute (mg/kg/min). Because this anesthetic formulation can be used to maintain anesthesia in addition to inducing 5 anesthesia, it is particularly convenient to use. The user does not have to change to another anesthetic and does not need to switch to a bulky gas anesthesia machine during a procedure.

The propofol-based anesthetic of the present invention is short-acting and has a smooth induction. Once administration of this anesthetic is stopped, it has a short 10 term effect. Thus, the animal has a quick and smooth recovery. Full recovery can be observed in a matter of minutes.

It is believed the anesthetic of the present invention will have a shelf life that is greater than about 3 years when it is stored in sealed containers. Tests have proven that the formulation of the present invention does not lose efficacy when stored for over 15 6 months.

From the foregoing, it will be seen that this invention is one that is well adapted to attain all the ends and objects hereinabove set forth together with other advantages which are obvious and inherent to the formulation. It will be understood that certain features and subcombinations are of utility and may be employed without 20 reference to other features and subcombinations. This is contemplated by and is within the scope of the claims. Since many possible embodiments may be made of the invention without departing from the scope thereof, it is to be understood that all matter herein set forth is to be interpreted as illustrative and not in a limited sense.

I claim:

1. An anesthetic, comprising the mixture of: propofol; a tonicity agent; an emulsifying agent; benzyl alcohol; and water.
2. The anesthetic of claim 1, wherein said tonicity agent is selected from the group consisting of sodium chloride, potassium chloride, mannitol, glycerin, dextrose, and dextrose anhydrous.
3. The anesthetic of claim 2, wherein said emulsifying agent is a substantially phospholipid-free emulsifying agent.
4. The anesthetic of claim 3, wherein said emulsifying agent is polyethoxylated castor oil.
5. The anesthetic of claim 4, wherein said water is suitable for injection under USP standards.
6. The anesthetic of claim 1, wherein said anesthetic comprises about 1-30% propofol, about 1-19.8% tonicity agent, about 5-40% emulsifying agent, about 0.5-2.5% benzyl alcohol, and water.
7. The anesthetic of claim 1, wherein said anesthetic comprises about 9-11% propofol, about 4-8% tonicity agent, about 15-25% emulsifying agent, about 1-2% benzyl alcohol, and water.
8. A method for administering an anesthetic, comprising: providing an anesthetic comprising the mixture of propofol, a tonicity agent, a substantially phospholipid-free emulsifying agent, a preservative, and water; and parenterally administering said anesthetic.

9. The method of claim 8, wherein said anesthetic is first parenterally administered to induce anesthesia and then parenterally administered to maintain anesthesia.

10. A method for making an anesthetic, comprising: combining 5 propofol, a tonicity agent, a substantially phospholipid-free emulsifying agent, a preservative, and water to form an anesthetic; and filtering said anesthetic through a sterilizing filter.

11. The method of claim 10, wherein said propofol, said tonicity agent, said emulsifying agent, and said preservative are mixed together before said water is 10 completely added.

12. The method of claim 10, further comprising: agitating about 30-40% of said water; mixing said tonicity agent with said water until a clear solution forms; mixing said emulsifying agent with said clear solution until a milky solution forms; mixing said propofol with said milky solution for at least about 10 minutes; mixing 15 benzyl alcohol with said milky solution for at least about 10 minutes; adding remaining water to said milky solution after agitation is discontinued to form an anesthetic; mixing said anesthetic for at least about 10 minutes; and filtering said anesthetic through a sterilizing filter.

13. The method of claim 10, wherein said filter is a 20 polytetrafluoroethylene filter.

14. The method of claim 13, wherein said anesthetic is filtered through a 0.22 or smaller micron absolute sterilizing filter.

15. An anesthetic, comprising the mixture of: propofol; a tonicity agent; a substantially phospholipid-free emulsifying agent; a preservative; and water.

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16. The anesthetic of claim 15, wherein said emulsifying agent is polyethoxylated castor oil.

17. The anesthetic of claim 15, wherein said preservative is at least somewhat soluble in water.

5 18. The anesthetic of claim 15, wherein said preservative is selected from the group consisting of benzalkonium chloride, benzethonium chloride, benzoic acid, benzyl alcohol, butyl paraben, cetylpyridinium chloride, chlorobutanol, chlorocresol, cresol, dehydroacetic acid, ethylparaben, methylparaben, methylparaben sodium, phenol, phenylethyl alcohol, phenylmercuric acetate, phenylmercuric nitrate, 10 potassium benzoate, potassium sorbate, propylparaben, propylparaben sodium, sodium benzoate, sodium dehydroacetate, sodium propionate, sorbic acid, thimerosal, and thymol.

19. The anesthetic of claim 15, wherein said preservative meets the Antimicrobial Preservative Effectiveness (APE) test.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US99/24081

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) :A61K 31/05

US CL :Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 514/731

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y,E	US 5,962,536 A (KOMER) 05 October 1999, col. 1, lines 50-55.	1-19
Y,P	US 5,830,907 A (DOBLE et al) 03 November 1998, col. 1, lines 15-20; col. 3, lines 15-25; column 4, example C.	1-19
Y	GLEN, J.B. Animal Studies of the Anaesthetic Activity of ICI 35 868. British Journal of Anaesthesia. 1990, Vol. 52, pages 731 and 741, entire document.	1-19

 Further documents are listed in the continuation of Box C. See patent family annex.

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Date of the actual completion of the international search

09 FEBRUARY 2000

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INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/24081

A. CLASSIFICATION OF SUBJECT MATTER:  
US CL :

514/731

B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

WEST

search terms:propofol, anesthetic, diisopropylphenol, animal non-human, parenteral, benzyl alcohol, tonicity agent, emulsifying, cremophor EL, polyethoxylated, castor oil